

5/14/98

FORM PTO-1449

INFORMATION DISCLOSURE CITATION

Atty Docket 3359-4005US1	Serial No. 08/896,805
Applicant(s) MARTIN, et al.	
Filing Date 07/18/97	Group Art Unit 3306 3738

U.S. PATENT DOCUMENTS

Examiner Initial		Document Number	Date	Name	Class	Sub-Class	Filing Date
TEN	A	3,152,618	10/13/64	Rothermel, et al			3/30/59
	B	3,479,670	11/25/69	Medell			10/19/66
	C	3,514,791	06/02/70	Sparks			7/25/67
	D	3,562,820	02/16/71	Braun			08/21/67
	E	3,625,198	12/01/71	Sparks			05/09/69
	F	3,657,744	04/25/72	Ersek			05/08/70
	G	3,710,777	01/16/73	Sparks			12/23/70
	H	3,774,596	11/27/73	Cook			06/29/71
	I	3,866,247	02/18/75	Sparks			06/18/73
	J	3,866,609	02/18/75	Sparks			06/11/73
	K	3,868,956	03/04/75	Alfidi, et al			06/05/72
	L	3,927,422	12/23/75	Sawyer			12/23/73
	M	3,953,566	04/21/76	Gore			07/03/73
	N	3,974,526	08/17/76	Dardik, et al			01/23/75
	O	4,130,904	12/26/78	Whalen			06/06/77
	P	4,187,390	02/05/80	Gore			06/21/77
	Q	4,319,363	03/16/82	Ketharanathan			05/23/79
	R	4,411,655	10/25/83	Schreck			11/30/81
	S	4,425,908	01/17/84	Simon			10/22/81
	T	4,494,531	01/22/85	Gianturco			12/06/82
	U	4,503,569	03/12/85	Dotter			03/03/83
	V	4,512,338	04/23/85	Balko, et al			01/25/83
	W	4,546,500	10/15/85	Bell			02/26/82
TEN	X	4,553,545	11/02/85	Maass, et al			09/15/82

Examiner TRAM NGUYEN	Date Considered 6/4/99
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U.S. PATENT DOCUMENTS

Examiner Initial		Document Number	Date	Name	Class	Sub-Class	Filing Date
T&N	A	4,580,568	04/08/86	Gianturco			10/01/84
	B	4,604,762	08/12/86	Robinson			02/13/81
	C	4,629,458	12/16/86	Pinchuk			02/26/86
	D	4,641,653	02/10/87	Rockey			02/19/85
	E	4,649,922	03/17/87	Wiktor			01/23/86
	F	4,655,771	04/07/87	Wallsten			04/11/83
	G	4,665,906	05/18/87	Jervis			05/21/86
	H	4,733,665	03/28/88	Palmaz			11/07/85
	I	4,739,762	04/28/88	Palmaz			11/03/86
	J	4,740,207	04/28/88	Kreamer			09/10/86
	K	4,760,849	08/02/88	Kropf			03/31/86
	L	4,776,337	10/14/88	Palmaz			06/26/86
	M	4,798,606	01/17/89	Pinchuk			08/27/86
	N	4,830,003	05/16/89	Wolff, et al			06/17/88
	O	4,842,575	06/27/89	Hoffman, Jr., et al			08/05/88
	P	4,856,516	08/16/89	Hillstead			01/09/89
	Q	4,886,062	12/12/89	Wiktor			10/19/87
	R	4,886,500	12/12/89	Lazarus			11/07/88
	S	4,913,141	04/03/90	Hillstead			10/25/88
	T	4,969,458	11/17/90	Wiktor			07/06/87
	U	4,990,151	02/05/91	Wallsten			09/18/89
	V	4,990,155	02/05/91	Wilkoff			05/19/89
	W	5,015,253	05/14/91	MacGregor			06/15/89
	X	5,019,085	05/28/91	Hillstead			02/23/90
T&N	Y	5,019,090	05/28/91	Pinchuk			09/01/88

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U.S. PATENT DOCUMENTS

Examiner Initial		Document Number	Date	Name	Class	Sub-Class	Filing Date
TAM	A	5,035,706	07/30/91	Giantureo, et al			10/17/89
	B	5,037,377	08/06/91	Alonso			08/21/86
	C	5,037,427	08/06/91	Harada, et al			10/30/90
	D	5,064,435	11/12/91	Porter			06/28/90
	E	5,067,957	11/26/91	Jervis			09/27/88
	F	5,092,877	03/07/92	Pinchuk			07/05/90
	G	5,100,429	03/31/92	Sinofsky, et al			10/20/89
	H	5,102,417	04/07/92	Palmaz			03/28/88
	I	5,104,404	04/14/92	Wolff			06/20/91
	J	5,122,154	06/16/92	Rhodes			08/15/90
	K	5,123,917	06/23/92	Lee			04/27/90
	L	5,133,732	07/28/92	Wiktor			03/22/89
	M	5,147,370	09/15/92	McNamara, et al			06/12/91
	N	5,151,105	09/22/92	Kwan-Gett			10/07/91
	O	5,161,547	11/10/92	Tower			11/28/90
	P	5,162,430	11/10/92	Rhee, et al			11/14/89
	Q	5,163,958	11/17/92	Pinchuk			08/13/91
	R	5,192,307	03/09/93	Wall			02/05/92
	S	5,195,984	03/28/93	Schatz			02/19/91
	T	5,209,735	05/14/93	Lazarus			08/27/91
	U	5,211,658	05/18/93	Clouse			11/05/91
	V	5,213,580	05/25/93	Slepian, et al			03/25/92
	W	5,217,483	06/08/93	Tower			05/15/92
TAM	X	5,221,261	06/28/93	Termin, et al			08/10/92

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FORM PTO-1449	U.S. DEPARTMENT OF COMMERCE PATENT AND TRADEMARK OFFICE	ATTY. DOCKET NO. 3359-4005US1	SERIAL NO. 08/896,805
INFORMATION DISCLOSURE STATEMENT <i>(Use several sheets if necessary)</i>		APPLICANT(S): Lilip LAU, et al.	
		FILING DATE July 18, 1997	GROUP ART UNIT 3738

OTHER DOCUMENTS <i>(Including Author, Title, Date, Pertinent Papers, Etc.)</i>			
Tan	080	✓	Cragg, "Percutaneous Femoropopliteal Graft Placement" <u>Radiology</u> (1993) 187 (3):643-648
	081	✓	Hagen et al, "Self-Expandable Macroporous Nitinol Stents for Transfemoral Exclusion of Aortic Aneurysms in Dogs: Preliminary Results" <u>Cardiovascular Intervention Radiology</u> (1993) 16:339-342
	082	✓	Laborde et al., "Intraluminal Bypass of Abdominal Aortic Aneurysm: Feasibility Study"; <u>Radiology</u> 1992, 184:185-190
	083		Product Brochure for Cook-Z [®] Stents, Gianturco-Rösch Biliary Design, Cook [®] , A Cook Groups Company, P.O. Box 489, Bloomington, IN, 47402, U.S.A., 4 pages total, (1989)
Tan	084	✓	MinTec [®] Minimally Invasive Technologies Product Brochure for the Craggstent and Cragg EndoPro System 1, 4 pages total

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Sheet 1 of 5

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U.S. PATENT DOCUMENTS							
EXAMINER INITIAL		DOCUMENT NUMBER	DATE	NAME	CLASS	SUBCLASS	FILING DATE IF APPROPRIATE
Tan	001	3,174,851	03/23/65	Buehur, et al.			
	002	3,351,463	11/07/67	Rozner, et al.			
	003	3,753,700	08/21/73	Harrison, et al.			
	004	3,949,073	04/06/76	Daniels, et al.			
	005	4,164,045	08/14/79	Bokros, et al.			
	006	4,424,208	01/03/84	Wallace, et al.			
	007	4,488,911	12/18/84	Luck, et al.			
	008	4,557,764	12/10/85	Chu			
	009	4,582,640	04/18/86	Smestad, et al.			
	010	4,642,117	02/10/87	Nguyen, et al.			
	011	4,647,416	03/03/87	Seiler, Jr. et al.			
	012	4,689,399	08/21/87	Chu			
	013	4,738,666	04/12/88	Fuqua			
	014	4,787,899	11/88	Lazarus			
	015	4,816,339	03/28/89	Tu, et al.			
	016	4,820,298	04/11/89	Leveen, et al.			
	017	4,878,906	11/89	Lindemann et al.			
	018	4,957,508	09/18/90	Kaneko, et al.			
Tan	019	4,994,071	02/12/91	MacGregor			

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U.S. PATENT DOCUMENTS							
T&M	020	5,139,480	08/ 18 /92	Hickle, et al.			
	021	5,171,262	12/ 18 /92	MacGregor			
	022	5,197,978	03/ 30 /93	Hess			
	023	5,201,757	04/93	Heyn			
	024	5,236,447	08/ 17 /93	Kubo, et al.			
	025	5,242,451	09/ 07 /93	Harada, et al.			
	026	5,282,824	02/ 01 /94	Gianturco			
	027	5,282,847	02/ 01 /94	Trescony, et al.			
	028	5,306,294	04/ 26 /94	Winston, et al.			
	029	5,342,348	08/ 30 /94	Kaplan			
	030	5,344,426	09/ 06 /94	Lau, et al.			
	031	5,354,308	10/ 11 /94	Simon, et al.			
	032	5,354,309	10/ 11 /94	Schnepp-Pesch et al.			
	033	5,356,423	10/ 18 /94	Tihon, et al.			
	034	5,360,443	11/ 02 /94	Barone, et al.			
	035	5,366,473	11/ 22 /94	Winston, et al.			
	036	5,372,600	05/ 10 /93	Beyar			
	037	5,382,261	01/ 17 /95	Palmaz			
	038	5,405,377	04/ 11 /95	Cragg			
	039	5,405,378	04/ 11 /95	Strecker			
T&M	040	5,421,955	06/95	Lau, et al.			

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U.S. PATENT DOCUMENTS							
T&N	041	5,423,849	06/95	Eugelson, et al.			
	042	5,443,500	08/22/95	Sigwart			
	043	5,449,373	09/12/95	Pinchasik, et al.			
	044	5,458,605	10/17/95	Klemm			
	045	5,480,423	01/02/96	Ravenscroft, et al.			
	046	5,484,444	01/96	Braudschweiler			
	047	5,496,365	03/05/96	Sgro			
	048	5,509,902	04/96	Raulerson			
	049	5,514,154	05/07/96	Lau, et al.			
	050	5,522,881	06/96	Lentz			
	051	5,540,701	07/96	Sharkey, et al.			
	052	5,540,712	07/30/96	Kleshinski, et al.			
	053	5,545,210	08/18/96	Hess, et al.			
	054	5,549,635	08/27/96	Solar			
	055	5,549,663	08/27/96	Cottone, Jr.			
	056	5,554,180	09/10/96	Turk			
	057	5,556,413	08/27/96	Lam			
	058	5,562,726	10/96	Chuter			
	059	5,571,173	08/05/96	Parodi			
	060	5,575,816	11/96	Rudnick, et al.			
T&N	061	5,591,197	01/97	Orth, et al.			

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U.S. PATENT DOCUMENTS								
	062	5,607,442	03/97	Fischell, et al.				
	063	5,662,713	09/02/97	Andersen et al.				

FOREIGN PATENT DOCUMENTS								
EXAMINER INITIAL		DOCUMENT NUMBER	DATE	COUNTRY	CLASS	SUBCLASS	TRANSLATION	
							YES	NO
TEN	064	0 418 677	03/91	EP				
	065	0 472 731	03/04/92	EP				
	066	0 686 379	12/13/95	EP				
	067	0 540 290	05/05/93	EP				
	068	0 565 251	10/13/93	EP				
	069	196 17 823 A	11/13/97	Germany				
	070	WO 88/06026	08/23/88	PCT				
	071	WO 90/04982	05/17/90	PCT				
	072	WO 92/06734	04/30/92	PCT				
	073	WO 92/09246	06/11/92	PCT				
	074	WO 93/22986	11/25/93	PCT				
	075	WO 94/01483	01/20/94	PCT				
	076	WO 94/04097	03/03/94	PCT				
	077	WO 94/12136	06/09/94	PCT				
	078	WO 94/15549	07/94	PCT				
TEN	079	WO 95/05132	02/23/95	PCT				

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Tan	A	0 382 014	08/16/90	European			
	B	0 408 245	01/16/91	European			
	C	0 556 850	08/26/93	European			
	D	WO 93/13825	07/22/93	PCT International Application			
	E	WO 93/19803	10/14/93	PCT International Application			
	F	WO 93/19804	10/14/93	PCT International Application			
	G	WO 94/00179	01/06/94	PCT International Application			
	H	WO 95/26695	10/12/95	PCT International Application			
	I	1 506 432	04/05/78	Great Britian			
	J	1 567 122	05/08/80	Great Britian			
Tan	K	1 355 373	06/05/94	Great Britian			

OTHER (Including Author, Title, Date, Pertinent Pages, etc.)

Tan	AA	Cragg, et al; <u>PERCUTANEOUS FEMOROPLOPLITEAL GRAFT PLACEMENT</u> ; <i>Journal of Vascular and Interventional Radiology</i> ; pp 455 - 462; July - August 1993; Volume 4, Number 4
	AB	Cragg, et al; <u>NITINOL INTRAVASCULAR STENT: RESULTS OF PRECLINICAL EVALUATION</u> ; <i>Radiology</i> ; pp 775 - 778; December 1993; Volume 189, Number 3
Tan	AC	Neuwirth; <u>A PERCUTANEOUS THERAPY</u> ; <i>Minimally Invasive Technologies</i> .

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